

Please type a plus (+) sign in this box → ☐

04-02-01

PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

|  |  |
|--|--|
| Attorney Docket No.                      | M61.12-0317  |
| First Inventor or Application Identifier | Joseph E. Pentheroudakis et al.                    |
| Title                                    | TOKENIZER FOR A NATURAL LANGUAGE PROCESSING SYSTEM |
| Express Mail Label No.                   | EI 844345660US                                     |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form e.g., PTO/SB17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **52**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention)
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **6**]
4. Oath or Declaration [Total Sheets **3**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (Identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO – PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ \*Small Entity ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: Checks in the amount of \$764.00 and \$40.00

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP)

of prior application No: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE**

☐ Customer Number or Bar Code Label

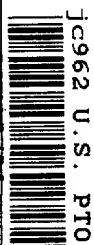
(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

|         |  |           |                |          |                |
|---------|--|-----------|----------------|----------|----------------|
| Name    | Joseph R. Kelly<br>WESTMAN CHAMPLIN & KELLY                  |           |                |          |                |
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|                   |                          |                                  |         |
|-------------------|--------------------------|----------------------------------|---------|
| Name (Print/type) | Theodore M. Magee        | Registration No (Attorney/Agent) | 39,758  |
| Signature         | <i>Theodore M. Magee</i> | Date                             | 3/30/01 |

03/30/01



## FEE TRANSMITTAL

- Complete if Known

Application No.

Filing Date

First Named Inventor

Title

TOKENIZER FOR A NATURAL LANGUAGE  
PROCESSING SYSTEM

Group Art Unit

Examiner Name

Total Amount of Payment \$ 804

Atty. Docket Number

M61.12-0317

## METHOD OF PAYMENT (Check One)

## FEE CALCULATION (Continued)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123 Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity<br>Fee | Small Entity<br>Fee | Code | Fee Description  |
|---------------------|---------------------|------|--|
| 101                 | 710                 | 201  | 355 <input checked="" type="checkbox"/> Utility Filing Fee |
| 106                 | 320                 | 206  | 160 <input type="checkbox"/> Design Filing Fee             |
| 108                 | 710                 | 208  | 355 <input type="checkbox"/> Reissue Filing Fee            |
| 114                 | 150                 | 214  | 75 <input type="checkbox"/> Prov. Filing Fee               |

Subtotal (1) \$ 710

## 2. EXTRA CLAIM FEES

|        | Number<br>Claims | Prior** | Extra | Fee from<br>Below | Fee Paid |
|--------|------------------|---------|-------|-------------------|----------|
| Total  | 23               | 20      | 3     | 18                | 54       |
| Indep. | 3                | 3       | 0     | 80                | 0        |

Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater, Reissue see below

| Large Entity<br>Fee | Small Entity<br>Fee | Code | Fee Description   |
|---------------------|---------------------|------|---|
| 103                 | 18                  | 203  | 9 Claims in excess of 20                                  |
| 102                 | 80                  | 202  | 40 Independent claims in excess of 3                      |
| 104                 | 270                 | 204  | 135 Multiple Dependent Claims                             |
| 109                 | 80                  | 209  | 40 Reissue Independent Claims over Original Patent        |
| 110                 | 18                  | 210  | 9 Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$ 54

## 3. ADDITIONAL FEES

| Large Entity<br>Fee | Small Entity<br>Fee | Code | Fee Description   | Fee Paid |
|---------------------|---------------------|------|---|----------|
| 105                 | 130                 | 205  | 65 Surcharge - Late filing fee or oath  |          |
| 127                 | 50                  | 227  | 25 Surcharge - Late provisional Filing Fee or cover sheet                     |          |
| 139                 | 130                 | 139  | 130 Non-English specification   |          |
| 147                 | 2,520               | 147  | 2,520 For Filing a Request for Reexamination                                  |          |
| 115                 | 110                 | 215  | 55 Extension for reply within first month                                     |          |
| 116                 | 390                 | 216  | 195 Extension for reply within second month                                   |          |
| 117                 | 890                 | 217  | 445 Extension for reply within third month                                    |          |
| 118                 | 1,390               | 218  | 695 Extension for reply within fourth month                                   |          |
| 128                 | 1,890               | 280  | 945 Extension for reply within fifth month                                    |          |
| 120                 | 310                 | 220  | 155 Filing a brief in support of an appeal                                    |          |
| 121                 | 270                 | 221  | 135 Request for oral hearing  |          |
| 148                 | 110                 | 248  | 55 Terminal Disclaimer Fee  |          |
| 140                 | 110                 | 240  | 55 Petition to Revive - unavoidable   |          |
| 141                 | 1,240               | 241  | 620 Petition to Revive - unintentional  |          |
| 142                 | 1,270               | 242  | 650 Utility/Reissue issue fee (inc. advance copies)                           |          |
| 143                 | 470                 | 243  | 250 Design issue fee (inc. advance copies)                                    |          |
| 122                 | 130                 | 122  | 130 Petitions to the Commissioner   |          |
| 123                 | 50                  | 123  | 50 Petitions related to provisional applications                              |          |
| 126                 | 180                 | 126  | 180 Submission of Information Disclosure Statement                            |          |
| 581                 | 40                  | 581  | 40 Recording each patent assignment per property (times number of properties) | 40       |

Other Fee (specify) \_\_\_\_\_

Subtotal (3) \$40

Signature

(Theodore M. Magee)

Reg. No. 39,758

Date

3-30-01

Deposit Account No. 23-1123